

PATENT

(Docket No. IN-8384)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re Application of
William C. Norris

Serial No.: 09/964,776

Group Art Unit:1762

Filed: September 27, 2001

Examiner: N. Uhlir

For: Coating System and Method for Coating a Substrate with a Powder-Based Coating
Composition Containing Color Effect Providing PigmentCommissioner of Patents
Washington, D.C. 20231**CERTIFICATE OF FACSIMILE TRANSMISSION**I hereby certify that the following papers are being facsimile transmitted to the Patent and
Trademark Office (fax No.: **(703) 872-9310**) on the date shown below:☒ **Response on 7 pages**☒ **Amendment Transmittal on 4 pages in duplicate**☒ **Transmittal Form on 1 page****Total pages 17 .**

Friday, July 26, 2002

Date

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)


Signature of person mailing paper or feeFAX RECEIVED
JUL 26 2002
GROUP 1700

Please type a plus sign (+) inside this box

+

TRANSMITTAL FORM(to be used for all correspondence
after Initial Filing)In re Application of
William C. Norris

Serial No.: 09/964,776

Group Art Unit: 1762

Filed: September 27, 2001

Examiner: N. Uhler

Total No. of Pages in This Submission 0

ENCLOSURES (check all that apply)

- | | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers
(for an Application) | <input type="checkbox"/> After Allowance Communication
to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to
Board of Appeal and Interferences |
| <input checked="" type="checkbox"/> Amendment/Response | <input type="checkbox"/> Return Receipt Postcard | <input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Checklist and | <input type="checkbox"/> Proprietary Information |
| <input checked="" type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Accompanying Petition | <input type="checkbox"/> Status Letter |
| Express Abandoned
Request | <input type="checkbox"/> To Convert a Provisional
Application | <input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence
Address |
| <input type="checkbox"/> Information Disclosure
Statement/PTO-1449 | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Certified Copy of Priority
Document(s) |
| <input type="checkbox"/> Cited References | <input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Additional Enclosure(s)
(Please identify below): |
| <input type="checkbox"/> Associate Power of Attorney | <input checked="" type="checkbox"/> Facsimile Transmittal | |

Remarks:

SIGNATURE OF APPLICATION, ATTORNEY, OR AGENT

Firm or Individual name

July 26, 2002
Date

Anne Gerry Sabourin, 33,772

PATENT

(Docket No. IN-5384)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
William C. Norris

Serial No.: 09/964,776

Group Art Unit:1762

Filed: September 27, 2001

Examiner: N. Uhlir

For: Coating System and Method for Coating a Substrate with a Powder-Based Coating
Composition Containing Color Effect Providing PigmentCommissioner for Patents
Washington, DC 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is
- ☐ a small entity -- verified statement:
- ☐ attached
- ☐ already filed.
- ☒ other than a small entity.

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☐ deposited with the United States Postal
Service on the date shown below with sufficient
postage as first class mail in an envelope
addressed to the: Commissioner of Patents and
Trademarks, Washington, D.C. 20231.

FACSIMILE

☒ transmitted by facsimile to the
Patent and Trademark Office.

Date: 7/26/02


signature

Marjorie Ellis

(type or print name of person certifying)

(Amendment Transmittal [9-19]--page 1 of 4)

EXTENSION OF TERM

Note: *Extension of Time in Patent Cases (Supplement Amendments)* — If a timely and complete response has been filed after a Non-final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run. *Notice of December 10, 1985 (1081 O.G. 34-35).

Note: See 37 CFR 1.845 for extensions of time in interference proceedings and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply

(complete (a) or (b) as applicable)

(a) ☒ Applicant petitions for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d) for the total number of months checked below:

Extension (months)	Fee for other than <u>small entity</u>
<input type="checkbox"/> one month	\$ 110.00
<input checked="" type="checkbox"/> Two months	\$ 400.00
<input type="checkbox"/> three months	\$ 920.00
<input type="checkbox"/> four months	\$1,440.00
<input type="checkbox"/> five months	\$1,960.00

Fee \$400.00

If an additional extension of time is required please consider this a petition therefor.

(check and complete the next item, if applicable)

☐ An extension for _ months has already been secured and the fee paid therefor of 0.00 is deducted from the total fee due for the total _ months of extension now requested.

Extension fee due with this request \$400.00

OR

(b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal [9-19]—page 2 of 4)

4. The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)		(Col. 1)		OTHER THAN A SMALL ENTITY	
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	
TOTAL .	MINUS ..	=	x18=	\$	
INDEP. .	MINUS ...	=	x84=	\$	
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+290=	\$	
			TOTAL		
			ADDIT.	\$	
			FEE		

- . If the entry in Col. 1 is less than entry in Col. 2 write "0" in Col.3.
 .. If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".
 ... If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
 The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 CFR § 1.116(a) (emphasis added).

(complete (c) or (d) as applicable)

- (c) ☒ No additional fee for claims is required.

OR

- (d) ☐ Total additional fee for claims required \$_____.

FEE PAYMENT

5. ☐ Attached is a check in the sum of \$
☒ Charge Account No. 23-3425 the sum of \$400.00

A triplicate of this transmittal is attached.

(Amendment Transmittal [9-19]-page 3 of 4)

FEE DEFICIENCY

an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986. (1065 O.G. 31-33).

6. ☒ If any additional extension and/or fee is required, charge Account No. 23-3425.

AND/OR

☒ If any additional fee for claims is required, charge Account No. 23-3425.

Reg. No.: 33,772


SIGNATURE OF ATTORNEY

Anne Gerry Sabourin
Type or print name of attorney

Tel. No.: (248) 948-2021

BASF Corporation
26701 Telegraph Road
P.O. Address

Southfield, Michigan 48034-2442

CUSTOMER NO. 26922

(Amendment Transmittal [9-19]—page 4 of 4)